



State of California
Kevin Shelley
Secretary of State

CORPORATE DISCLOSURE STATEMENT
Supplement to Statement of Information
(Domestic Stock and Foreign Corporations)

This Space For Filing Use Only

IMPORTANT – READ INSTRUCTIONS BEFORE COMPLETING THIS FORM

1. CORPORATE NAME:

INDEPENDENT AUDITOR (See explanation in instructions.)

2. NAME OF THE INDEPENDENT AUDITOR:

3. DATE OF THE LAST REPORT PREPARED FOR THE CORPORATION BY THE INDEPENDENT AUDITOR:
(ATTACH A COPY OF THE LAST REPORT TO THIS STATEMENT)

4. DESCRIPTION OF ANY OTHER SERVICES PERFORMED BY THE INDEPENDENT AUDITOR (See Instructions):

DIRECTORS AND EXECUTIVE OFFICERS (See explanation in instructions.)

5. NAMES OF DIRECTORS	ANNUAL COMPENSATION	SHARES	OPTIONS	BANKRUPTCY	FRAUD
1) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
2) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
3) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
4) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
5) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO

IF THE CORPORATION HAS ADDITIONAL DIRECTORS, COMPLETE ITEM B OF THE ATTACHMENT (FORM SI-PTSUPPA).

6. NAMES OF EXECUTIVE OFFICERS	ANNUAL COMPENSATION	SHARES	OPTIONS	BANKRUPTCY	FRAUD
1) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
2) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
3) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
4) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
5) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO

LOANS TO MEMBERS OF THE BOARD OF DIRECTORS (See explanation in instructions.)

7. NAMES OF DIRECTORS	DESCRIPTION OF LOAN (INCLUDING AMOUNT AND TERMS)
1) _____	_____
2) _____	_____
3) _____	_____
4) _____	_____
5) _____	_____

IF THE CORPORATION HAS MADE ADDITIONAL LOANS TO DIRECTORS, COMPLETE ITEM C OF THE ATTACHMENT (FORM SI-PTSUPPA).

ADDITIONAL STATUTORY DISCLOSURES

8. HAS THE CORPORATION FILED BANKRUPTCY WITHIN THE PREVIOUS 10 YEARS? ☐ YES ☐ NO

9. HAS THE CORPORATION VIOLATED ANY FEDERAL SECURITY LAWS OR ANY SECURITY OR BANKING PROVISION OF CALIFORNIA LAW DURING THE PREVIOUS 10 YEARS FOR WHICH THE CORPORATION WAS FOUND LIABLE IN AN ACTION BEFORE A FEDERAL OR STATE COURT OR REGULATORY AGENCY OR A SELF-REGULATORY ORGANIZATION IN WHICH A JUDGEMENT OVER TEN THOUSAND DOLLARS (\$10,000) WAS ENTERED? ☐ YES ☐ NO

10. BY SUBMITTING THIS CORPORATE DISCLOSURE STATEMENT TO THE SECRETARY OF STATE, THE CORPORATION CERTIFIES THE INFORMATION CONTAINED HEREIN, INCLUDING ANY ATTACHMENTS, IS TRUE AND CORRECT.

TYPE OR PRINT NAME OF OFFICER OR AGENT

TITLE

DATE

INSTRUCTIONS FOR COMPLETING FORM SI-PTSUPP

(Type or legibly print in black or blue ink.)

For easier completion, this form is available in a "fillable" version online at the Secretary of State's website at <http://www.ss.ca.gov/business/business.htm>. The form can be filled in on your computer, printed and mailed to the Secretary of State, Statement of Information Unit, P.O. Box 944230, Sacramento, CA 94244-2300 or can be delivered in person to the Sacramento office, 1500 11th Street, Sacramento, CA 95814.

Statutory filing provisions are found in California Corporations Code sections **1502** and **2117**, unless otherwise indicated.

Every domestic stock and foreign corporation that is a "publicly traded company" must complete all the information contained in this Corporate Disclosure Statement (Form SI-PTSUPP) and include it with the required Statement of Information form. The publicly traded company must attach a copy of the latest report prepared for the corporation by the independent auditor to this Form SI-PTSUPP.

"Annual compensation" includes wages, salaries, bonuses, commissions and any other form of monetary remuneration paid to directors and executive officers for services rendered to the corporation in the previous 12 months or fiscal year.

"Executive officer" means the five most highly compensated officers of the company, **excluding** any officer who is also a member of the board of directors.

"Independent auditor" means an auditor that meets the requirements for auditor independence stated in Title 17 Code of Federal Regulations section 210.2-01, (2002), as amended, or any successor regulation.

"Publicly traded company" means a company with securities that are either listed or admitted to trading on a national or foreign exchange, or is the subject of two-way quotations, such as both bid and asked prices, that are regularly published by one or more broker-dealers in the National Daily Quotation Service or a similar service.

Complete Corporate Disclosure Statement (Form SI-PTSUPP) as follows:

- Item 1.** Enter the name of the corporation **exactly** as it is of record with the Secretary of State.
- Item 2.** Enter the name of the independent auditor used by the corporation.
- Item 3.** Enter the date of the last report prepared for the corporation by the independent auditor. A copy of the report must be attached to this Form SI-PTSUPP.
- Item 4.** If the corporation is a domestic stock corporation, enter a description of other services, if any, performed for the corporation during the previous 24 months by the independent auditor, by its parent corporation, or by a subsidiary or corporate affiliate of the independent auditor or its parent corporation.
- If the corporation is a foreign corporation, enter a description of other services, if any, performed for the corporation during the previous 24 months by the independent auditor, by its parent corporation, or by an agent, subsidiary, corporate partner, or corporate affiliate of the independent auditor or its parent corporation.
- If more space is needed, enter the additional information in Item D of the Attachment (Form SI-PTSUPPA).
- Item 5.**
- Enter the name of each director; the annual compensation (in U.S. dollars) paid to each director; and the number of any shares or options for shares provided to each director that were not available to other employees of the corporation.
 - Check the applicable box as to whether or not each director has filed bankruptcy within the previous 10 years.
 - Check the applicable box as to whether or not each director has been convicted of fraud during the previous 10 years.
- If the corporation has additional directors, complete Item B of the Attachment (Form SI-PTSUPPA).
- Item 6.**
- Enter the names of the five most highly compensated officers of the corporation, **excluding** any officer who is also a member of the board of directors. Enter the annual compensation (in U.S. dollars) paid to each executive officer and the number of any shares or options for shares provided to each executive officer that were not available to other employees of the corporation. If more space is needed, enter the additional information in Item D of the Attachment (Form SI-PTSUPPA).
 - Check YES or NO to indicate whether or not each executive officer has filed bankruptcy within the previous 10 years.
 - Check YES or NO to indicate whether or not each executive officer has been convicted of fraud during the previous 10 years.
- Item 7.** Enter a description of each loan made to a director by the corporation at a preferential loan rate during the previous 24 months, including the name of the director receiving the loan, the amount of the loan (in U.S. dollars) and the terms of the loan. If more space is needed for the description of the loan, enter the additional information in Item D of the Attachment (Form SI-PTSUPPA). If no such loans were made, the field should be left blank.
- If the corporation has made additional loans to directors, complete Item C of the Attachment (Form SI-PTSUPPA).
- Item 8.** Check YES or NO to indicate whether or not the corporation has filed bankruptcy within the previous 10 years.
- Item 9.** Check YES or NO to indicate whether or not the corporation violated any federal security laws or any security or banking provision of California law during the previous 10 years for which the corporation was found liable in an action before a federal or state court or regulatory agency or a self-regulatory organization in which a judgment over ten thousand dollars (\$10,000) was entered.
- Item 10.** Type or print the name and title of the officer or agent completing this statement and enter the date this statement was completed. By submitting this Corporate Disclosure Statement to the Secretary of State, the corporation certifies the information contained herein, including any attachments, is true and correct.



State of California
Kevin Shelley
Secretary of State

ATTACHMENT TO
CORPORATE DISCLOSURE STATEMENT
(Domestic Stock and Foreign Corporations)

This Space For Filing Use Only

IMPORTANT — READ INSTRUCTIONS BEFORE COMPLETING THIS FORM

A. CORPORATE NAME:

B. ADDITIONAL DIRECTORS (Continued from Item 5 on Form SI-PTSUPP)

NAMES OF DIRECTORS	ANNUAL COMPENSATION	SHARES	OPTIONS	BANKRUPTCY	FRAUD
6) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
7) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
8) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
9) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
10) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
11) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
12) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
13) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
14) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
15) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
16) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
17) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
18) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
19) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
20) _____	_____	_____	_____	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO

IF THE CORPORATION HAS ADDITIONAL DIRECTORS, ATTACH ADDITIONAL PAGES AS NEEDED.

C. ADDITIONAL LOANS TO MEMBERS OF THE BOARD OF DIRECTORS (Continued from Item 7 on Form SI-PTSUPP)

NAMES OF DIRECTORS	DESCRIPTION OF LOAN (INCLUDING AMOUNT AND TERMS)
6) _____	_____
7) _____	_____
8) _____	_____
9) _____	_____
10) _____	_____

IF THE CORPORATION HAS MADE ADDITIONAL LOANS TO DIRECTORS, ATTACH ADDITIONAL PAGES AS NEEDED.

D. ADDITIONAL INFORMATION (Please reference item number from Form SI-PTSUPP or Form SI-PTSUPPA, as applicable)

INSTRUCTIONS FOR COMPLETING FORM SI-PTSUPPA

(Type or legibly print in black or blue ink.)

For easier completion, this form is available in a “fillable” version online at the Secretary of State’s website at <http://www.ss.ca.gov/business/business.htm>. The form can be filled in on your computer, printed and mailed to the Secretary of State, Statement of Information Unit, P.O. Box 944230, Sacramento, CA 94244-2300 or can be delivered in person to the Sacramento office, 1500 11th Street, Sacramento, CA 95814.

Statutory filing provisions are found in California Corporations Code sections 1502 and 2117, unless otherwise indicated.

Use this form to add additional directors’ names and information; additional loans made to directors; and any further information that does not fit on the Corporate Disclosure Statement (Form SI-PTSUPP).

Complete Corporate Disclosure Statement Attachment to Form SI-PTSUPP (Form SI-PTSUPPA) as follows:

Item A. Insert the name of the corporation exactly as it is of record with the Secretary of State.

Item B. If this attachment adds additional directors:

- Enter the name of each director; the annual compensation (in U.S. dollars) paid to each director; and the number of any shares or options for shares provided to each director that were not available to other employees of the corporation.
- Check the applicable box as to whether or not each director has filed bankruptcy within the previous 10 years.
- Check the applicable box as to whether or not each director has been convicted of fraud during the previous 10 years.

If more than 20 directors, attach additional pages as needed.

Item C. If this attachment adds additional loan(s) made to a director by the corporation at a preferential loan rate during the previous 24 months, enter a description of each loan including the name of the director receiving the loan, the amount of the loan (in U.S. dollars) and the terms of the loan. If more space is needed for the description of the loan, enter the additional information in Item D of this Attachment (Form SI-PTSUPPA).

If the corporation has made more than 10 loans to the directors, attach additional pages as needed.

Item D. If extra space is needed to complete the information requested on the Corporate Disclosure Statement (Form SI-PTSUPP) or this Attachment (Form SI-PTSUPPA), please enter the additional information in Item D. Please reference the item number from Form SI-PTSUPP or Form SI-PTSUPPA, as applicable, that corresponds with each item of additional information.